



11/21

**PATENT APPLICATION**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of

Docket No: Q66372

Keiji YURUGI, et al.

Appln. No.: 09/982,861

Group Art Unit: 1621

Confirmation No.: 7705

Examiner: Karl J. PUTTLITZ

Filed: October 22, 2001

For: COMPOSITION OF VINYL ELTHER GROUP-CONTAINING (METH) ACRYLIC  
ACID ESTER AND RODUCTION METHOD THEREOF

**INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 C.F.R. §§ 1.97 and 1.98**

**MAIL STOP AMENDMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents is submitted herewith, along with a copy of the corresponding Communication from a Foreign Patent Office, except for the following: U.S. patents and/or U.S. patent publications; and co-pending non-provisional U.S. applications filed after June 30, 2003.

The present Information Disclosure Statement is being filed after the later of three months from the application's filing date and the mailing date of the first Office Action on the

merits, but before a Final Office Action, Notice of Allowance, or an action that otherwise closes prosecution in the application (whichever is earlier), and therefore Applicant is filing concurrently herewith a Statement Under 37 C.F.R. § 1.97(e). No fee under 37 C.F.R. § 1.17(p) is required.

The present Information Disclosure Statement is being filed thirty days or fewer from the communication from a foreign patent office and a Statement Under 37 C.F.R. § 1.704(d) is attached.

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for foreign language documents, Applicant encloses herewith a copy of an Office Action from the European Patent Office in counterpart application EP 01 402 734.6 citing the listed document.

The attention of the Examiner is directed to ALDRICH: "Katalog Handbuch of Feinchemikalien 1996 - 1997", 1996, pages 31 - 34. This reference discloses the commercially available chemical compounds (cf. the acrylic acid derivatives). Some of them are stabilized or inhibited as indicated in the specification. The chemical formulas of the chemical compounds are indicated, and the stabilizer and inhibitor are indicated in English in this document.

Also, the Examiner's attention is directed to ALDRICH: "Katalog Handbuch of Feinchemikalien 1996 - 1997", 1996 pages 325, 77, 1421. This reference discloses the commercially available chemical compounds (cf. the buty-, ethyl-, and propyl-vinylether). Some of them are stabilized or inhibited as indicated in the specification. The chemical formulas of the chemical compounds are indicated, and the stabilizer and inhibitor are indicated in English in this document.

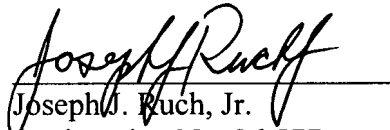
INFORMATION DISCLOSURE STATEMENT  
U.S. Appln. No.: 09/982,861

Attorney Docket No.: Q66372

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

The USPTO is directed and authorized to charge all required fees, except for the Issue Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any overpayments to said Deposit Account.

Respectfully submitted,

  
Joseph J. Ruch, Jr.  
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WASHINGTON OFFICE

**23373**

CUSTOMER NUMBER

Date: May 4, 2007



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**STATEMENT UNDER 37 C.F.R. § 1.97(e)**

Commissioner for Patents  
P.O. Box 1450  
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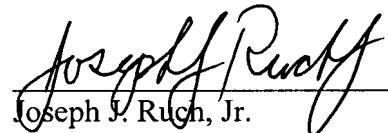
Sir:

The undersigned hereby states, upon information and belief:

That each item of information contained in the Information Disclosure Statement filed  
concurrently herewith was first cited in any communication from a foreign patent office in a  
counterpart foreign application not more than three months prior to the filing of said Information  
Disclosure Statement.

Respectfully submitted,

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**23373**

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Date: May 4, 2007

Substitute for Form 1449 A &amp; B/PTO

Complete if Known

Application Number	09/982,861
Confirmation Number	7705
Filing Date	October 22, 2001
First Named Inventor	Keiji YURUGI
Art Unit	1621
Examiner Name	Karl J. PUTTLITZ
Attorney Docket Number	Q66372

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

1 of 1

## U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		Number	Kind Code <sup>2</sup> (if known)		
		US			
		US			
		US			
		US			
		US			
		US			
		US			
		US			
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## FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Translation <sup>6</sup>
		Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)			

## NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.	Translation <sup>6</sup>
		ALDRICH: "Katalog Handbuch Feinchemikalien 1996 - 1997", 1996, pages 31 - 34	
		ALDRICH: "Katalog Handbuch Feinchemikalien 1996 - 1997", 1996, pages 325, 777, 1421	

Examiner Signature	Date Considered
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kind Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov), MPEP 901.04 or follow the hyperlink from the title of the document to the intranet. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to indicate here if English language Translation is attached.